



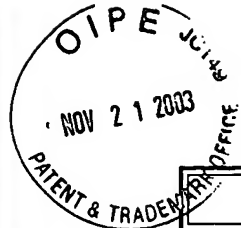
Electronic Filing System (EFS) Data
Electronic Patent Application Submission
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annuloplasty
First Named Inventor: Darrell Brett
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Filing Date: 2003-11-22
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TRANSMITTAL

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| Title of Invention | Epidural termal posterior annuloplasty | | | | | | | | | |
|--|--|----------------|---------------------------|-------------|----------------|---|-----------------------|------------|--|------------|
| Application Number: 10/613678  | | | | | | | | | | |
| Date: 2003-11-22 | | | | | | | | | | |
| First Named Applicant: Dr. Darrell C. Brett | | | | | | | | | | |
| Confirmation Number: 7367 | | | | | | | | | | |
| Attorney Docket Number: ExpC:EptaRib | | | | | | | | | | |
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| <table border="1"><thead><tr><th>Submitted by:</th><th>Elec. Sign.</th><th>Sign. Capacity</th></tr></thead><tbody><tr><td>Mrs. Karen Dana Oster Registered Number: 37621</td><td>Mrs. Karen Dana Oster</td><td>Attorney</td></tr></tbody></table> | | | Submitted by: | Elec. Sign. | Sign. Capacity | Mrs. Karen Dana Oster Registered Number: 37621 | Mrs. Karen Dana Oster | Attorney | | |
| Submitted by: | Elec. Sign. | Sign. Capacity | | | | | | | | |
| Mrs. Karen Dana Oster Registered Number: 37621 | Mrs. Karen Dana Oster | Attorney | | | | | | | | |
| <table><tr><td>Documents being submitted</td><td>Files</td></tr><tr><td>us-ids</td><td>ExpC-EptaRib1-usidst.xml</td></tr><tr><td></td><td>us-ids.dtd</td></tr><tr><td></td><td>us-ids.xsl</td></tr></table> | | | Documents being submitted | Files | us-ids | ExpC-EptaRib1-usidst.xml | | us-ids.dtd | | us-ids.xsl |
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| | us-ids.xsl | | | | | | | | | |
| <p>Comments</p> <p>Applicant submits herewith copies of patents and other art of which he is aware and</p> | | | | | | | | | | |

which he desires to have considered by the Patent Office in accordance with 37 CFR Section 1.97. In accordance with 37 CFR Section 1.97(b)(3), this Information Disclosure Statement is being submitted before the mailing date of a first office action on the merits. In accordance with 37 CFR Section 1.97(h), the filing of this Information Disclosure Statement will not be regarded as an admission that any patent or patents, or other art referred to herein is, or is considered to be, material to patentability under 37 CFR Section 1.56(b) unless specifically designated as such. The person making this statement is the attorney who signs below on the basis of the information supplied by the inventor and the information in the file. The Commissioner is hereby authorized to charge any additional fee required or credit any overpayments to Deposit Account Number 50-2115.



ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

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| Title of Invention | Epidural termal posterior annuloplasty | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
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| <p>Application Number: 10/613678</p> <p>Confirmation Number: 7367</p> <p>First Named Applicant: Darrell Brett</p> <p>Attorney Docket Number: ExpC:EptaRib</p> <p>Art Unit: 3739</p> <p>Search string: (3526219 or 4191191 or 4536180 or 4735605 or 4886491 or 5419761 or 5499991 or 5569291 or 5584847 or 5690664 or 5797944 or 5810863 or 5911700 or 5980493 or 6078842 or 5778894 or 6142955 or 6152894 or 6231514 or 6503263).pn.</p> <p>US Patent Documents</p> <p>Note: Applicant is not required to submit a paper copy of cited US Patent Documents</p> <table border="1"><thead><tr><th>init</th><th>Cite.No.</th><th>Patent No.</th><th>Date</th><th>Patentee</th><th>Kind</th><th>Class</th><th>Subclass</th></tr></thead><tbody><tr><td></td><td>1</td><td>3526219</td><td>1970-09-01</td><td>Balamuth</td><td></td><td></td><td></td></tr><tr><td></td><td>2</td><td>4191191</td><td>1980-03-04</td><td>Auburn</td><td></td><td></td><td></td></tr><tr><td></td><td>3</td><td>4536180</td><td>1985-08-20</td><td>Johnson</td><td></td><td></td><td></td></tr><tr><td></td><td>4</td><td>4735605</td><td>1988-04-05</td><td>Swartz</td><td></td><td></td><td></td></tr><tr><td></td><td>5</td><td>4886491</td><td>1989-12-12</td><td>Parisi et al.</td><td></td><td></td><td></td></tr><tr><td></td><td>6</td><td>5419761</td><td>1995-05-30</td><td>Narayanan et al.</td><td></td><td></td><td></td></tr><tr><td></td><td>7</td><td>5499991</td><td>1996-03-19</td><td>Garman et al.</td><td></td><td></td><td></td></tr><tr><td></td><td>8</td><td>5569291</td><td>1996-10-29</td><td>Privitera et al.</td><td></td><td></td><td></td></tr><tr><td></td><td>9</td><td>5584847</td><td>1996-12-17</td><td>Duluco et al.</td><td></td><td></td><td></td></tr><tr><td></td><td>10</td><td>5690664</td><td>1997-11-25</td><td>Sauer et al.</td><td></td><td></td><td></td></tr><tr><td></td><td>11</td><td>5797944</td><td>1998-08-25</td><td>Nobles et al.</td><td></td><td></td><td></td></tr><tr><td></td><td>12</td><td>5810863</td><td>1998-09-22</td><td>Wolf et al.</td><td></td><td></td><td></td></tr><tr><td></td><td>13</td><td>5911700</td><td>1999-06-15</td><td>Mozsary et al.</td><td></td><td></td><td></td></tr><tr><td></td><td>14</td><td>5980493</td><td>1999-11-09</td><td>Smith et al.</td><td></td><td></td><td></td></tr><tr><td></td><td>15</td><td>6078842</td><td>2000-06-20</td><td>Gross et al.</td><td></td><td></td><td></td></tr></tbody></table> | | | | | | | | init | Cite.No. | Patent No. | Date | Patentee | Kind | Class | Subclass | | 1 | 3526219 | 1970-09-01 | Balamuth | | | | | 2 | 4191191 | 1980-03-04 | Auburn | | | | | 3 | 4536180 | 1985-08-20 | Johnson | | | | | 4 | 4735605 | 1988-04-05 | Swartz | | | | | 5 | 4886491 | 1989-12-12 | Parisi et al. | | | | | 6 | 5419761 | 1995-05-30 | Narayanan et al. | | | | | 7 | 5499991 | 1996-03-19 | Garman et al. | | | | | 8 | 5569291 | 1996-10-29 | Privitera et al. | | | | | 9 | 5584847 | 1996-12-17 | Duluco et al. | | | | | 10 | 5690664 | 1997-11-25 | Sauer et al. | | | | | 11 | 5797944 | 1998-08-25 | Nobles et al. | | | | | 12 | 5810863 | 1998-09-22 | Wolf et al. | | | | | 13 | 5911700 | 1999-06-15 | Mozsary et al. | | | | | 14 | 5980493 | 1999-11-09 | Smith et al. | | | | | 15 | 6078842 | 2000-06-20 | Gross et al. | | | |
| init | Cite.No. | Patent No. | Date | Patentee | Kind | Class | Subclass | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
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| | 2 | 4191191 | 1980-03-04 | Auburn | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | 3 | 4536180 | 1985-08-20 | Johnson | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | 4 | 4735605 | 1988-04-05 | Swartz | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | 5 | 4886491 | 1989-12-12 | Parisi et al. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | 6 | 5419761 | 1995-05-30 | Narayanan et al. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | 7 | 5499991 | 1996-03-19 | Garman et al. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | 8 | 5569291 | 1996-10-29 | Privitera et al. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | 9 | 5584847 | 1996-12-17 | Duluco et al. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | 10 | 5690664 | 1997-11-25 | Sauer et al. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | 11 | 5797944 | 1998-08-25 | Nobles et al. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | 12 | 5810863 | 1998-09-22 | Wolf et al. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | 13 | 5911700 | 1999-06-15 | Mozsary et al. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | 14 | 5980493 | 1999-11-09 | Smith et al. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | 15 | 6078842 | 2000-06-20 | Gross et al. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |

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|--|----|---------|------------|-------------------|
| | 16 | 5778894 | 1998-07-14 | Dorogi et al. |
| | 17 | 6142955 | 2000-11-07 | Farascioni et al. |
| | 18 | 6152894 | 2000-11-28 | Kubler |
| | 19 | 6231514 | 2001-05-15 | Lowe et al. |
| | 20 | 6503263 | 2003-01-07 | Adams |

Remarks

Note: Remarks are not for responding to an office action.

Applicant submits herewith copies of patents and other art of which he is aware and which he desires to have considered by the Patent Office in accordance with 37 CFR Section 1.97. In accordance with 37 CFR Section 1.97(b)(3), this Information Disclosure Statement is being submitted before the mailing date of a first office action on the merits. In accordance with 37 CFR Section 1.97(h), the filing of this Information Disclosure Statement will not be regarded as an admission that any patent or patents, or other art referred to herein is, or is considered to be, material to patentability under 37 CFR Section 1.56(b) unless specifically designated as such. The person making this statement is the attorney who signs below on the basis of the information supplied by the inventor and the information in the file. The Commissioner is hereby authorized to charge any additional fee required or credit any overpayments to Deposit Account Number 50-2115.

Signature

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| Examiner Name | Date |
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